

1 **Efficiently Compressing 3D Medical Images for Teleinter-**
2 **ventions via CNNs and Anisotropic Diffusion**

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Abstract

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Purpose: Efficient compression of images while preserving image quality has the potential to be a major enabler of effective remote clinical diagnosis and treatment, since poor Internet connection conditions are often the primary constraint in such services. This paper presents a framework for organ-specific image compression for teleinterventions based on a deep learning approach and anisotropic diffusion filter.

Methods: The proposed method, DLAD, uses a CNN architecture to extract a probability map for the organ of interest; this probability map guides an anisotropic diffusion filter that smooths the image except at the location of the organ of interest. Subsequently, a compression method, such as BZ2 and HEVC-visually lossless, is applied to compress the image. We demonstrate the proposed method on 3D CT images acquired for radio frequency ablation (RFA) of liver lesions. We quantitatively evaluate the proposed method on 151 CT images using peak-signal-to-noise ratio (*PSNR*), structural similarity (*SSIM*) and compression ratio (*CR*) metrics. Finally, we compare the assessments of two radiologists on the liver lesion detection and the liver lesion center annotation using 33 sets of the original images and the compressed images.

Results: The results show that the method can significantly improve *CR* of most well-known compression methods. DLAD combined with HEVC-visually lossless achieves the highest average *CR* of 6.45, which is 36% higher than that of the original HEVC and outperforms other state-of-the-art lossless medical image compression methods. The means of *PSNR* and *SSIM* are 70 dB and 0.95, respectively. In addition, the compression effects do not statistically significantly affect the assessments of the radiologists on the liver lesion detection and the lesion center annotation.

Conclusions: We thus conclude that the method has a high potential to be applied in teleintervention applications.

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85 I. INTRODUCTION

86 Teleradiology is the transmission of the medical images such as CT, MRI, X-ray and UI-
87 trasound over the Internet from one location to another for diagnostic or therapeutic decision-
88 making. Teleradiology is becoming an increasingly important part of modern diagnostic medicine,
89 although the capabilities of medical imaging technology continue to improve rapidly, the educa-
90 tion of radiologists with the expertise required to fully utilize its capabilities has been unable to
91 keep up. This has resulted in a lack of radiological experts, particularly in undeveloped/rural
92 medical centers. At the same time, the rapid enrollment of the Internet worldwide has enabled
93 increasingly convenient transfer of data among medical centers¹. It has been reported that 86%
94 of the radiologists in the United States have undertaken medical practice using teleradiology²
95 while in an online survey, 74% of European radiologists claimed that teleradiology is currently
96 used in their countries^{3,4}. Teleradiology is now being employed in several developing countries in
97 Africa, South America and Asia⁵. Vietnam has launched a teleradiology system which connected
98 several hospitals during the Covid-19 pandemic in 2020⁶.

99 Next to supporting radiological diagnosis, teleradiology has been used in the context of
100 interventional therapeutic. Live teleinterventions in vascular endotherapy have recently been used
101 for training of interventionalists via the Internet⁷. The interventions were often performed under
102 the guidance of 2D angiography at a frame rate of 1-2 frame/s⁸. Teleultrasound scanning using
103 2D real-time US images has become popular in several telehealth applications⁹. However, live
104 teleinterventions using 3D image modalities, such as CT-guided radiofrequency ablation (RFA)
105 liver intervention is still challenging due to the frequently insufficient bandwidth for transmission
106 of 3D CT images during live intervention. For example, a typical 3D CT image of 100 MB takes 5
107 to 8 minutes to be transferred between two locations via a network with an effective transmission
108 speed of 2 Mbps, while a compressed image with a compression ratio of 6:1 may be transmitted
109 within a minute. For some CT-guided liver interventions, such as tumor ablations, such a long
110 waiting time is a significant delay in the procedure, compared to the average ablation time of 16
111 minutes (range 6-29 minutes)¹⁰ while the delay is expected to be less than the scanning time
112 (from few seconds to a minute). During the scanning time, the interventionists move out of
113 the intervention room to avoid radiation expose and go back the intervention room after a brief
114 overview of the acquired image.

115 Though modern broadband telecommunications technologies, such as 5G or optical fibers,
116 are able to transmit with a speed of up to several Gbps, and with very low latency¹¹, this advanced
117 infrastructure is not available in many regions. The 4G network has been shown to be able to
118 transfer data from a hospital to other sites at a distance of 10 km and at a data rate up to
119 12 Mbps, however the package loss due to the unstable wireless channel is a challenge for live
120 intervention application¹¹. Coaxial cable seems to be a reasonable network for teleradiology,
121 which enables to transfer data at a stable rate of 20-50 Mbps¹². Another problem is that the
122 networks are often a shared resource and the actual data transfer speed are much smaller than
123 the maximum capability of the networks. In most hospitals and medical centers, LAN and WAN
124 are available infrastructures which supply a typical data transmission rate of 10-100 Mbps, while
125 the actual speed of a Wifi, under IEEE 802.11 standard, often are 2-5 Mbps¹³. Such regions
126 with relatively poor Internet connectivity, therefore, are severely limited in their ability to take
127 advantage of teleradiology, especially in live-view radiological interventions/operations. Image
128 compression may enable effective utilization of teleradiology in such regions.

129 Several studies on methods for medical image compression have been published. These
130 approaches fall into one of three categories: lossless, lossy or ROI-based compression methods.
131 Lossless compression methods are often utilized because there is no reduction in image quality
132 relative to the original. The compression ratios of various lossless compression methods (e.g.
133 JPEG-LS, JPEG-2000, TIFF, PNG, CALIC, LZW, LZ77, and Gzip) range from 1.7 to 3.9 (Culnie,
134 2000)¹⁴. The original JPEG standard included a lossless compression option based on a simple
135 differential PCM predictive coding scheme plus entropy encoding, while the more recent JPEG-
136 LS employs a coding method based on a combination of separate decorrelation, error modelling
137 and encoding schemes, which yields higher compression ratios and with lower computational
138 complexity compared to the original JPEG lossless process¹⁵. HEVC also has a lossless option
139 which has been used for medical image compression¹⁶. Beyond these general-purpose image
140 compression standards, Mahenswari and Raghavan (2020) developed the tetrolet transform for
141 medical image compression¹⁷. Amri et al. (2016) proposed watermark reduction combined
142 with the standard JPEG-LS and TIFF formats¹⁸. Guarda et al. (2017) proposed a method to
143 improve HEVC coding for volumetric medical image compression using Least-Squares Prediction
144 (LSP)¹⁹. Lucas et al. (2016) proposed a method utilizing 3D predictors²⁰. Hulsken (2020)²¹
145 introduced a lossless wavelet-based method, iSyntax, to compress several types of medical images
146 for web view purpose. In general, the main drawback of lossless compression methods is the low

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147 compression ratio and thus without further improvement, they seem to be not suitable for the
148 live teleintervention application.

149 In contrast, lossy and near lossless compression methods offer much better compression
150 ratios. Marcelo et al. (2000)²² investigated standard lossy compression (JPEG) and reported
151 that compressed (JPEG) images could be used for diagnosis with similar accuracy to using non-
152 compressed images. Parikh et al. (2017) applied lossy HEVC for medical image compression,
153 and determined a medically acceptable compression range for HEVC²³. Sharma et al. (2019)
154 proposed a detector (RIGED) and block adaptive arithmetic encoding (BAAE) for medical im-
155 ages²⁴. The optimal level of quantization was selected based on overall visual quality as assessed
156 by radiologists. Zerva et al. (2020)²⁵ introduced the 3D-WDR-MCPD method for lossily com-
157 pressing 3D medical images, which explores the spatiotemporal coherence property to improve
158 the compression ratio. Senapati et al. (2016)²⁶ proposed the 3D-HLCK embedded coder with
159 the aim to reduce memory use in medical image compression. However, the use of lossy compres-
160 sion in teleradiology is still controversial because the reduced image quality may affect clinical
161 decision-making.

162 In medical images, the regions of the image that contain information on the pathology, and
163 that are being used for decision-making, are called regions of interests (ROIs); anything outside of
164 these areas - the rest of the image - are denoted non-ROIs. The non-ROIs generally constitute the
165 majority of the image. Therefore, ROI-based methods often losslessly compress the ROIs while
166 lossily compressing the area outside the ROIs. Many researchers therefore define ROIs in the image
167 and use a ROI-based method for achieving high compression ratios. In general, there are two
168 strategies to define the ROIs. The first uses classical image processing methods such as such as
169 graph cuts²⁷, region growing²⁸, levelsets and active shape/appearance models²⁹; and the second
170 utilizes modern machine-learning approaches, which can automatically separate ROIs with high
171 accuracy and fast processing time. Recently, the machine learning approach has demonstrated
172 superior performance over classical methods if a sufficient amount of training data is available³⁰.
173 Ahmadi et al. (2018)³¹ used a CNN for segmenting ROIs and background from an angiogram
174 image. A DCT was used for tiling the ROIs and non-ROIs, after which the image was smoothed
175 via Gaussian blurring, followed by JPEG-LS-based compression. Wavelet transforms were also
176 used to lossily compress the non-ROIs^{32,33,34,35}. Sreenivasulu and Varadarajan (2018) applied
177 a DCT and hierarchical tree encoding method for ROI compression²⁸. Manpreet and Wassona
178 (2015) processed the ROIs by context tree weighting, and applied fractal lossy compression for

179 the non-ROIs³⁶. Other approaches applied lossy compression methods for both the ROIs and
180 the non-ROIs with different quality. Kurma et al. (2018) introduced the CVQ-SA method which
181 compresses the ROIs with a low compression ratio and the non-ROIs with a high compression
182 ratio³⁷. Chaabouni et al. (2016) first applied a DWT, and then compressed the coefficients
183 using an incremental self organizing map (ISOM)²⁷. However, those methods do not preserve
184 the edges in non-ROIs, which may be relevant in separating the objects in medical images.

185 The main challenges of medical image compression methods for live interventions are:

- 186 1. The compression rate should be sufficiently high while preserving the image quality for
187 clinical purposes (should be larger than 6); and
- 188 2. The compression and decompression process must be sufficiently fast (should be in order
189 of seconds).

190 In this paper, we propose an image processing method, deep learning and anisotropic diffusion
191 (DLAD), to improve medical image compression for live interventions using 3D images. Our
192 approach falls under the ROI compression approach. The proposed method exploits the principle
193 of Shannon's information theory³⁸ that the smoothed version of an image contains less entropy
194 than the original image. Therefore, compressing the smoothed image should achieve higher
195 compression rates compared to the original image. Our approach is to first apply a trained
196 application-specific convolutional neural network (CNN) to the image for extracting a probability
197 map, which highlights the organ of interest region. Subsequently, the probability map modulates
198 the diffusion coefficient function of an anisotropic diffusion filter, which controls the rate of
199 diffusion in the original image. Differing from the other lossy ROI-based compression methods,
200 the key idea of our method is that the anisotropic diffusion filter blurs the homogeneous areas
201 outside the organ of interest region while preserving the edges and keeping the organ of interest
202 region undiffused. Finally, the diffused image is compressed using a conventional compression
203 method.

204 We demonstrate the proposed compression framework for liver RFA interventions using CT
205 images. During the intervention, the interventionist performs tumor ablation under CT guidance³⁹
206 (see Figure 1). With single-ablator interventions, one of the key factors for the success is that the
207 tip of the ablator needs to be positioned at the center of the tumor⁴⁰. Therefore, locating the
208 tumor centers in the CT images is a critically important task for the radiologist/interventionist.



Figure 1: A CT-guided along with US-guided intervention of the liver RFA ablation⁴¹.

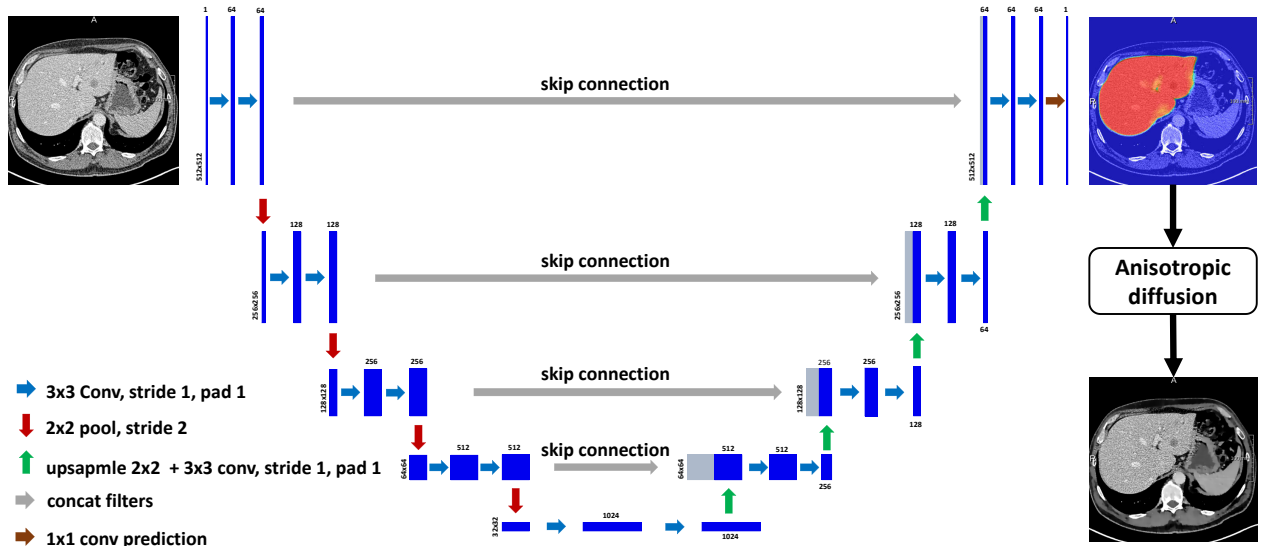
209 To perform the image compression, the probability map is derived from the interventional
210 CT image utilizing a fully convolutional network (FCN) based on the well-known U-net archi-
211 tecture^{42,43}. The probability map is then embedded in the diffusion coefficient function of a
212 Perona-Malik diffusion filter⁴⁴. Afterwards, a lossless compression method (such as BZ2)⁴⁵ vi-
213 sually lossless method (such as HEVC)⁴⁶, is applied on the diffused image. In our study, we
214 assess the extent to which image compression artifacts affect the radiologist’s assessment of the
215 liver intervention images. This is crucial because image compression artifacts should not affect
216 the diagnostic assessment and treatment quality. In this study, two radiologists perform the liver
217 lesion detection and the liver lesion center localization. Based on the score of their assessments,
218 compared with the ground truth, we qualitatively evaluate the effect of the image compression
219 on the clinical process.

220 The remainder of the paper is organized as follows. The next section presents the compres-
221 sion process, the proposed image processing method based on CNN and diffusion filter, as well as
222 the two conventional image compression methods. In Section III., we describe the experiments
223 and evaluation of the proposed method which includes image quality metrics and assessments
224 by radiologists. Section IV. discusses the implications of these results, while the final section
225 summarizes the outcomes and draws conclusions from the study.

226 II. METHOD

227 II.A. CNN-based liver probability map extraction

228 In this study, we applied a fully convolutional network (FCN) based on the well-known U-net
 229 architecture⁴⁷ to extract the probability map $P(I(\mathbf{x}_i)) = \{p(\mathbf{x}_i)\}$ of the liver CT image I , where
 230 \mathbf{x}_i is the position of the i -th voxel⁴². The probability $p(\mathbf{x}_i) \in [0, 1]$ should yield the value of
 231 closing to 1 when \mathbf{x}_i is inside the liver region while approaching 0 outside of the liver region. The
 232 FCN network introduced by Christ et al. (2017)⁴² was used to compute the probability map of
 233 the each 2D slice of the 3D interventional CT image. The key idea of a FCN is that it contains
 234 only convolutional layers, which allows the last layer of the network to predict dense pixel-wise
 235 probabilities for an image. The FCN contains 19 layers, which are organized in five stages of
 236 the U-net architecture (see Figure 2). We chose the FCN model due to its intrinsic multiscale
 237 structure and the good results reported in other related applications. The relatively small size of
 238 the network allows the probability map to be extracted within a short computation time. As in
 239 the experiments in our previous study^{43,48}, the computational time, using a modern GPU, for the
 240 whole 3D liver CT image is few seconds, while the inference time for a single 2D slice is of the
 order of several hundred milliseconds on average.



241 Figure 2: The proposed DLAD method: A 2D U-net architecture processes the 2D CT images and outputs 2D liver probability maps. These maps are used to steer the anisotropic diffusion filter. The FCN network contains five levels in a hierarchical structure.

242 II.B. Deep learning anisotropic diffusion filter

243 Based on the fact that entropy coding can be used to compress the image⁴⁹, our strategy for
 244 improving the compression rate is to reduce the entropy of the image³⁸ by applying an anisotropic
 245 diffusion process on the images. It already has been demonstrated that a blurred version of an
 246 image has smaller entropy than the original image⁵⁰. In this section, we describe the anisotropic
 247 diffusion filter used in this work. The filter has two important properties:

- 248 1. It does not diffuse inside the liver region; and
- 249 2. It diffuses less at the edges while diffusing more in homogeneous regions.

250 The diffusion process of the image I can be described as the following equation⁴⁴:

$$251 \frac{\partial I(\mathbf{x}_i, t)}{\partial t} = \text{div}(C(\mathbf{x}_i, t)\nabla I) = \nabla C(\mathbf{x}_i, t)\nabla I + C(\mathbf{x}_i, t)\Delta I, \quad (1)$$

252 where ∇ is gradient operator, Δ is Laplacian operator, $\text{div}(\dots)$ is divergence operator and $C(\mathbf{x}_i, t)$
 253 is a diffusion coefficient function. In this study, we proposed the following diffusion coefficient
 254 function:

$$255 C(\|\nabla I(\mathbf{x}_i)\|, p(\mathbf{x}_i)) = (1 - p(\mathbf{x}_i))\exp\left(-\frac{\|\nabla I(\mathbf{x}_i)\|}{K}\right), \quad (2)$$

256 where $\|\nabla I(\mathbf{x}_i)\|$ is the magnitude gradient of the image I , $p(\mathbf{x}_i)$ is the probability values of
 257 the probability map $P(I(\mathbf{x}_i))$ and K is conductivity parameter which rescales gradient mag-
 258 nitude values. This diffusion coefficient function ensures that when $p(\mathbf{x}_i) \rightarrow 1$, the function
 259 $C(\|\nabla I(\mathbf{x}_i)\|) \rightarrow 0$, i.e. there is no diffusion in the liver region, while when $p(\mathbf{x}_i) \rightarrow 0$,
 260 $C(\|\nabla I(\mathbf{x}_i)\|)$ depends more on the value of the magnitude gradient image $\|\nabla I(\mathbf{x}_i)\|$ (at a specific
 261 time point t).

262 II.C. Image compression methods

263 The aim of the proposed method is to reduce the entropy of the image to achieve a higher
 264 compression rate for several well-known compression methods. Furthermore, we intend to use
 265 lossless compression methods because they do not affect the quality of the images, which is
 266 relevant for medical applications. For the live intervention application, the compression methods
 267 should allow fast decompression because typically the receiver devices have low computational

power while the computational power of the transmitter can easily be matched by advanced hardware (such as a computational cluster or modern GPUs). Therefore, image compression methods which require long decompression times, such as JPEG-LS and JPEG2000, are not suitable for our application. In this section, we briefly present two compression methods, BZ2 and HEVC, which support lossless and visually lossless compression, respectively. Those methods will be assessed in the experimental section and then compared to several well-known lossless compression methods such as Gzip (GZ), Rar (RAR), Zip (ZIP), 7Z, JPEG-LS (JLS), JPEG2000 (J2K), iSyntax²¹ and Set partitioning in hierarchical trees (SPIHT)⁵¹.

BZ2, also called Bzip2, is a lossless compression algorithm mainly based on the Burrows–Wheeler transform (BWT)⁵² and Huffman coding⁵³. BWT is block-sorting text compression algorithm, which rearranges a symbol string into runs of similar symbols⁵⁴. The rearranged symbols are then effectively compressed by applying Huffman coding. The 3D CT images can be considered as a raw data stream and thus can be compressed using BZ2. In addition, Patel et al. (2012) suggested that BZ2 can be implemented in parallel in multicore CPUs and GPUs to reduce processing time⁵⁵.

HEVC, also called H.265, is a state-of-the-art compression standard which can be used for both video and image compression⁵⁶. HEVC achieves a high compression ratio because it contains several advanced techniques such as spatial and temporal prediction, DST and DCT transforms, quantization and entropy coding²³. HEVC-lossless/visually lossless compressions⁴⁶ are suitable for medical image compression^{16,19,23,57,58}. Furthermore, HEVC also can be implemented in parallel and thus also can potentially be applied in live teleinterventions⁵⁹.

III. EXPERIMENT AND EVALUATIONS

III.A. Dataset and annotations

We used 151 abdominal diagnostic and interventional CT images acquired before and during RFA liver interventions, which were retrospectively used in our previous studies^{43,60}. The datasets were collected from three sources: Erasmus MC, Mayo Clinic and LiTS challenge. The images were acquired on Siemens, GE and Philips scanners and were reconstructed according to standard medical protocols. All datasets were anonymized before they were used in this study. The images were converted into *nifti* format with 16-bit depth. The details of the datasets are

Table 1: Characteristics of the datasets used in this study.

Dataset	Number of images	In-plain resolution (mm)	Spacing (mm)	Number of slices	Voltage (kV)	Tube current (mAs)
EMC	103	0.55-0.98	0.8-10.0	21-261	80-120	4-12
Mayo	20	0.66-0.82	3.0	128-343	100-120	18-21
LiTS	28	0.63-1.0	0.7-5.0	31-234	-	-

297 summarized in Table 1. Of those datasets, 33 contrast-enhanced CT images with 61 visible
 298 liver lesions (35 HCCs, 20 metastases, 3 benign cysts and 3 hemangiomas), 1 to 5 lesions per
 299 image with diameters smaller than 3 cm, were selected for the radiologist evaluation section. The
 300 selected images contain either liver tumor segmentations or tumor center markers performed by
 301 a certified radiologist and then reviewed by one to three radiologist experts. From the liver tumor
 302 segmentations, we determined the center of the tumors by extracting the middle point of the
 303 longest diameter of the tumor segmentations. Those centers were used as the ground truth in the
 304 radiologist evaluation section (Section III.D.1.). Table 1 lists the characteristics of the datasets
 305 used in this study.

306 III.B. Quantitative evaluation criteria

307 III.B.1. PSNR

308 *PSNR* is commonly used to measure the quality of lossy image compression method.
 309 *PSNR* is defined as the ratio between the maximum possible power of a signal and the power
 310 of the difference between in intensities of the original image and the reconstructed-compressed
 311 image (the diffused image). Generally, *PSNR* for a 3D image is formulated as follows:

$$312 \quad PSNR = 10 \log_{10} \left(\frac{L^2}{MSE} \right), \quad (3)$$

$$313 \quad MSE = \frac{\sum [I(x_i) - D(x_i)]^2}{V}, \quad (4)$$

315 where $L = 2^{16} - 1$ for 16 bit depth CT images, *MSE* is the the average power of the difference
 316 of the the original image $I(\mathbf{x}_i)$ and the compressed image $D(\mathbf{x}_i)$ across a total of V voxels.

317 III.B.2. SSIM

318 While *PSNR* quantifies the difference of the two images globally, *SSIM*, introduced by
 319 Wang and Bovik (2004)⁶¹, measures the quality of the image based on the fact that the pix-

els/voxels have a strong relation when they are spatially close. The SSIM index of two images is calculated using the following formula⁶²:

$$SSIM(x, y) = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_1)}, \quad (5)$$

where x and y are pairs of local square patches at the same spatial locations (as sub-volumes/sub-images of image I and D respectively); μ_x and μ_y are the mean intensity values of the two corresponding patches x and y ; σ_x and σ_y are standard deviations of the intensities of the patches x and y respectively; σ_{xy} is the covariance intensities of the of the two patches; $C_1 = (K_1L)^2$ and $C_2 = (K_2L)^2$, with K_1 and K_2 are preset constants, are variables to stabilize the division by the small dominator. In this study, we chose $K_1 = 0.01$ and $K_2 = 0.03$ and the patch size of 11x11x1 with Gaussian weight scale of $\sigma = 1.5$ as suggested by Nilsson (2020)⁶³.

III.B.3. Compression ratio

The compression ratio, CR , measures the ratio between the original image size and the compressed image size:

$$CR = \frac{OS}{CS}, \quad (6)$$

where OS and CS are the original image size and the compressed image size, respectively.

III.B.4. Radiologist assessments

$PSNR$ and $SSIM$ are objective image quality metrics that are not explicitly related to diagnostic and treatment decision-making by medical experts. In this study, two radiologists, with 3 and 8 years of experience in reading liver tumors and performing RFA liver intervention using CT images, detected the liver lesions and annotated the centers in the CT images. Specifically, the 33 CT images, with the ground truth of the liver lesion centers (section III.A.), were processed using the proposed DLAD method and then compressed using BZ2 and HECV-visually lossless method. The file names of the original and decompressed images were anonymized and sorted in a random order. The two radiologists blindly read and annotated the center of the detected lesions in each image separately. In addition, the spatial accuracy of the liver tumor center annotation is important because the radiologist typically aims to insert the RF ablator through the center of a tumor in liver RFA interventions. Therefore, for the TP detection annotations, we compute the

347 Euclidean distances between the liver lesion annotations by the two radiologists and the ground
348 truth.

349 For the liver lesions detection evaluation metric, we used a per-lesion scoring metric as
350 suggested by McCollough (2017)⁶⁴ as follows:

- 351 • The reader gets +1 point for a true positive (TP) lesion detected, i.e. when the annotated
352 center is less than 10 mm apart;
- 353 • The reader gets -1 point for a false positive (FP) lesion annotation;
- 354 • The reader gets -1 point for a false negative (FN) lesion annotation;
- 355 • The normalized score (NS) is the total score/number of lesions (expressed as a percentage);
356 and
- 357 • The sensitivity score (SC) is $TP/(TP+FN)$ (expressed as a percentage).

358 Note that the evaluation does not include true negative (TN) score because it is medi-
359 cally necessary to detect the liver tumor rather than the healthy liver parenchyma in the RFA
360 intervention.

361 **III.C. Implementation and parameter verification**

362 The study was carried on an Ubuntu 16.04 Linux PC, with a 2.40 GHz 16-core Intel®
363 E5-2665 Xeon® CPU, 64 GB DDR3 and 1333 MHz bus. The proposed DLAD method was
364 implemented using the ITK 5.1.1 library in C++ with a Python 3.7 wrapper. The CNN model
365 for the liver probability map extraction was reused from our previous study⁴³, which was trained
366 on 115 CT images from the LiTS dataset⁶⁵ using an NVidia TITAN V GPU and was tested
367 on 40 contrast enhanced CT images of the liver. The parameter settings for training the CNN
368 model were inherited from the the original work by Christ et al. (2017)⁴². In this study, the
369 probability map is dilated with a circular kernel of 30x30x1 voxels and then is thresholded so
370 that the probability values are 1 when they are larger than 0.75 to ensure the liver is inside the
371 undiffused region. As investigated in our previous study⁴³ that the threshold of 0.75 guarantees
372 liver segmentation from the probability map to be larger than 90 % on average.

373 Compression and decompression were performed with Python 3.7 using only the CPU. We
 374 used BZ2 compression which is available as a standard Linux library and standalone utility. HEVC-
 375 visually lossless, implemented in the *FFMPEG* library in multicore CPU, was applied to compress
 376 each 2D slice of the image (the implementation has no support for 3D image compression yet).
 377 *PSNR* and *SSIM* scores were computed using the *Skimage* library while the entropy of the
 378 image was measured using the *Scipy* library. ISyntax source code was implemented and experi-
 379 mented in Matlab 2018b, provided by the author²¹.

380 In the parameter tuning section for the DLAD method, we use the 10 images in the Mayo
 381 dataset as a training dataset. We performed the diffusion while varying the number of iterations
 382 and the conductivity parameter K . The results of the experiment are shown in Figure 3. While
 383 the *PSNR* score does not show differences w.r.t the number of iterations (Figure 3a), the
 384 *SSIM* and *CR* score are both strongly dependent on these two parameters. According to
 385 Flynn (2013)⁶⁶, the processed image should maintain an *SSIM* score higher than 0.95 to ensure
 386 visual acceptance. In addition, the number of iterations should be as low as possible to limit the
 387 processing time. Note that the number of iterations is linearly related to the processing time while
 388 the compression ratio does not linearly increase with the number of iterations. Thus, we chose
 389 the optimal conductivity parameter $K = 0.4$ and the number of iterations as 15. A summary of
 390 the pilot experiment using the BZ2 compression method is provided in Table 2.

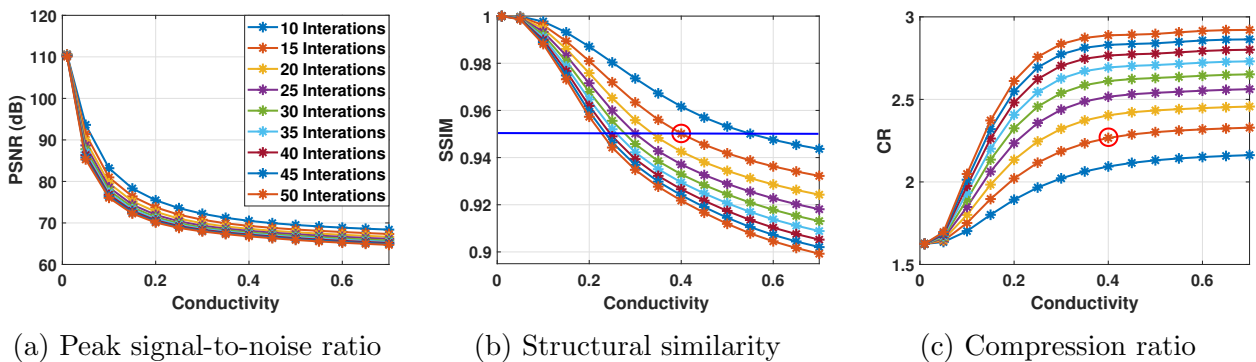


Figure 3: The effect of varying the number of iterations and the conductivity parameter K on the *PSNR* score (a), *SSIM* score (b) and the compression ratio (c) on average. The circle is at the optimal parameter point.

391 Figure 4 is an example CT image processed by the DLAD method. In the diffused image
 392 (B) the liver region is indistinguishable from the original (A), whereas the rest of the image is
 393 anisotropically diffused. Moreover, the histogram of the diffused image is more peaked than that
 394 of the original image, with a corresponding lower entropy value (8.72) than the original image

Table 2: The evaluation on the pilot images (Mayo) with the conductivity parameter $K = 0.4$ and the number of iterations = 15 using CPU only. The average entropy shows that the diffused images have lower entropy than that of the original images. The numbers in (parentheses) are the standard deviations while the numbers in the [square bracket] are the min and the max values. The compression ratio is for the BZ2 method.

Number of slices	Diffusion time (s)	PSNR (dB)	SSIM	CR	Entropy	
					Original	Diffusion
90.5 [75-110]	34.7 [32.4-36.8]	70.33 (0.85)	0.95 (0.02)	2.25 (0.20)	9.15 (0.12)	8.66 (0.20)

395 (9.14). The average entropy of the full set of diffused Mayo images (8.66) is also lower than the
 396 average entropy of the original images (9.15) (Table 2)

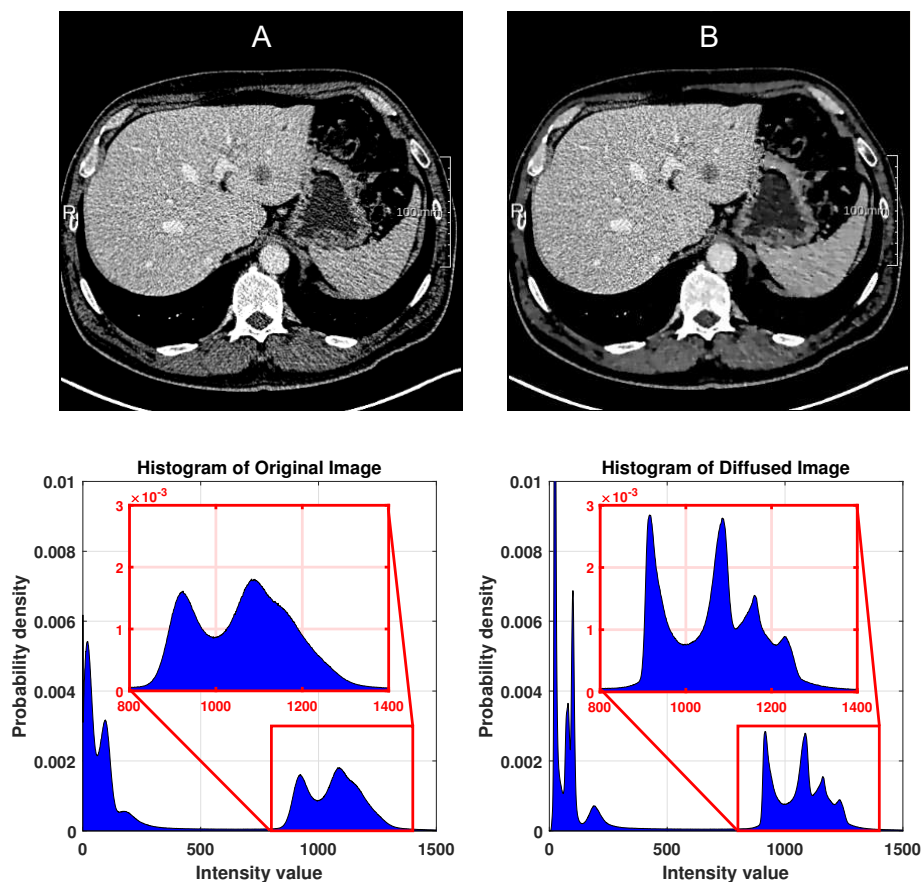


Figure 4: An example of the effect the DLAD method on a liver CT image: The original image (the left) and the diffused image (the right) have entropy values of 9.14 and 8.72, respectively.

III.D. Experiment results

III.D.1. Quantitative evaluation results

We applied the DLAD diffusion on the rest of the datasets (141 CT images). The diffused images were compressed with several well-known lossless compression methods such as GZ, RAR, ZIP, BZ2, 7Z, JLS, J2K, iSyntax, SPIHT and HEVC-visually lossless. The compression ratios of the original images and the diffused images are shown in Figure 5 and Table 3 for each of these compression methods. HEVC-visually lossless achieves the highest compression ratio, compressing the original images and the diffused images by factors of 4.73 and 6.45, respectively, with the improvement of 36%. We also perform paired T -tests on the compression ratios for the original images versus those of the diffused images. The results show that for all of the compression methods, the improvement in compression ratios with diffused vs. original images was statistically significant, with p -values of smaller than 0.01. The results also suggest that the HEVC compression method on the DLAD diffused image statistically significantly performed better than the other compression methods ($p < 0.001$).

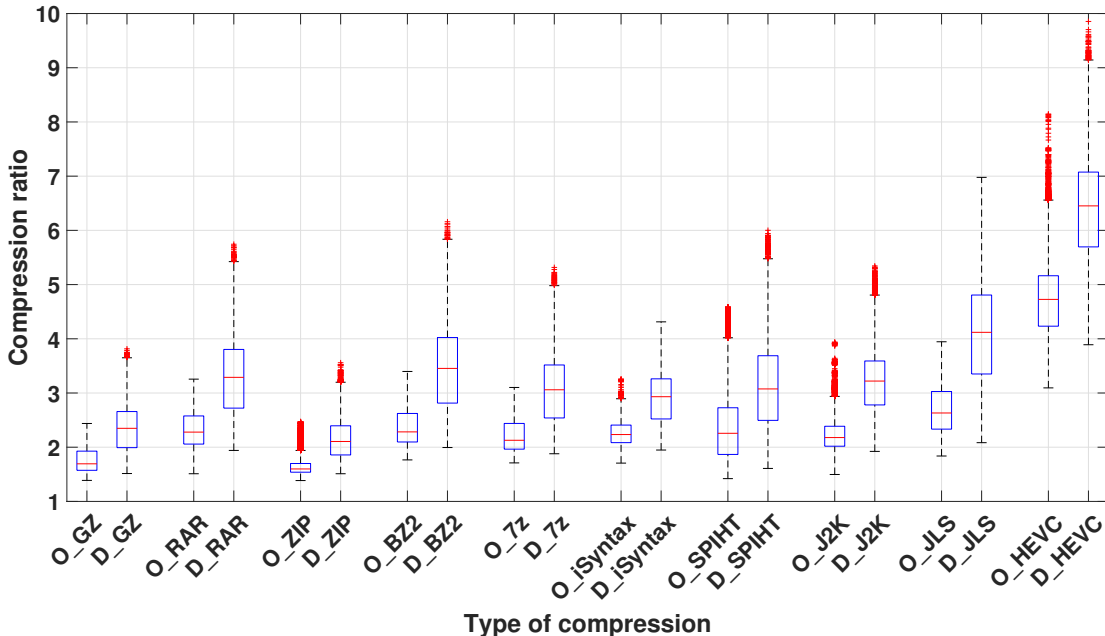


Figure 5: : The compression ratios achieved by each compression method on the original images (the left) and the DLAD diffused images (the right).

The average computation time of the compression methods on a 2D slice of the original images and the diffused images, using the CPU only, are shown in Figure 6. It can be seen that,

Table 3: Compression ratios, maximum processing time and maximum memory usage of several compression methods applied on the abdominal 3D CT images. The numbers in the round bracket are standard deviations. The implementation of iSyntax is in Matlab with unreliable computation time and used memory resource, and thus those values are not included in the table.

		Type of compression									
		GZ	RAR	ZIP	BZ2	7z	iSyntax	SPIHT	JLS	J2K	HEVC
Median of compression ratio	Original	1.7 (0.21)	2.28 (0.33)	1.6 (0.2)	2.28 (0.32)	2.13 (0.28)	2.26 (0.26)	2.54 (0.94)	2.63 (0.42)	2.18 (0.32)	4.73 (0.77)
	Diffused	2.1 (0.37)	3.29 (0.70)	2.34 (0.43)	3.45 (0.78)	3.06 (0.63)	2.93 (0.51)	3.3 (1.07)	4.12 (0.95)	3.22 (0.61)	6.45 (1.06)
Improvement		33% (14%)	44% (18%)	29% (14%)	47% (20%)	38% (16%)	29% (15%)	33% (24%)	53% (22%)	46% (19%)	36% (13%)
Maximum processing time (s)		23.1 (18.4)	26.8 (22.3)	23.6 (19.9)	23.8 (19.0)	34.9 (28.6)	-	32.8 (21.3)	27.5 (22.6)	38.2 (34.0)	36.3 (29.4)
Maximum memory usage (MB)		490.7	490.8	491.2	499.6	597.0	-	490.9	490.9	490.9	490.9

in general, all of the compression methods require less than a half of a second to compress and decompress a single 2D liver CT image. In addition to the diffusion processing time and the liver probability map extraction time (using the CPU), the whole process requires an average processing time of less than one and a half minutes for a 3D CT image of 90 slices (see Table 2). Moreover, the J2K and JLS compression methods require more time to decompress than to compress the images.

Figure 7 illustrates the differences between the original image (A) and the images with BZ2 (B) and the HEVC (C) compression. The difference images show that the BZ2-compressed image does not show any difference in the liver region, since it is lossless in this region; the HEVC-compressed image yields some tiny differences in the liver region. The *PSNR* and *SSIM* score are computed within the liver region of the HEVC-decompressed diffused images, yielding average values of 92 dB and 0.998, respectively.

III.D.2. Radiologist assessment result

Liver lesion detection by the two radiologists on the images with BZ2 and the HEVC-compression is summarized in Table 4. The table shows that the sensitivity metrics for radiologist 1 and 2 to the original images are 67.2% and 72.1%, respectively. Those scores are within the range [53% - 81%] of the expected performance of radiologists in the task of liver lesion detection reported in Fletcher et al. (2018)⁶⁷. Both radiologists detected one or two lesions fewer in the diffused images and the diffused HEVC images, which are not statistically significant (< 5%).

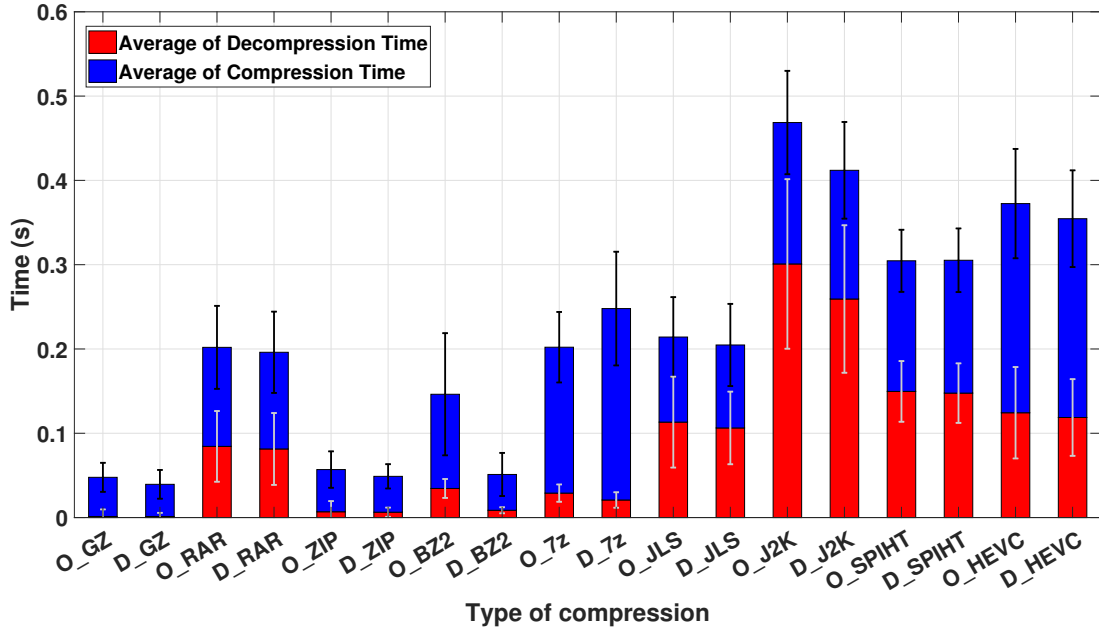


Figure 6: The average compression and decompression times of the different compression methods on both the original images and the diffused images. The prefix “O” indicates that the compression ratios are for the original images, while the prefix “D” indicates that the result is for the diffused images. The computation time of iSyntax is excluded due to the unreliable implementation for time-consuming measurement in Matlab.

432 Moreover, false detections are fewer in the decompressed images than that in the original images.
 433 The NS scores suggest that radiologist 2 (with 8 years of experience) performs better than the
 434 radiologist 1 (3 years of experience), but there is no significant difference in the performances of
 435 the two radiologists.

436 Figure 8 illustrates the displacements in the annotations at the liver lesion centers performed
 437 by two radiologists. The median values of the displacements for the original, BZ2-decompression
 438 diffused and HEVC-decompression diffused images by radiologists 1 and 2 (with the standard
 439 deviations in parentheses) are 2.4 (1.6) mm, 2.2 (2.2) mm, 2.6 (1.9) mm, 2.0 (1.3) mm, 2.3
 Table 4: The evaluation scores performed by the two radiologists on the 33 sets of the original, the BZ2-decompression diffused and the HEVC-decompression diffused images.

Evaluation parameter	Radiologist 1			Radiologist 2		
	Original	Diffused-BZ2	Diffused-HEVC	Original	Diffused-BZ2	Diffused-HEVC
TP	41/61	39/61	40/61	44/61	43/61	42/61
FP	21	16	19	15	13	12
FN	20	22	21	17	18	19
SC (%)	67.2	63.9	65.5	72.1	70.4	68.8
NS (%)	0.0	1.6	0.0	19.7	19.7	18.0

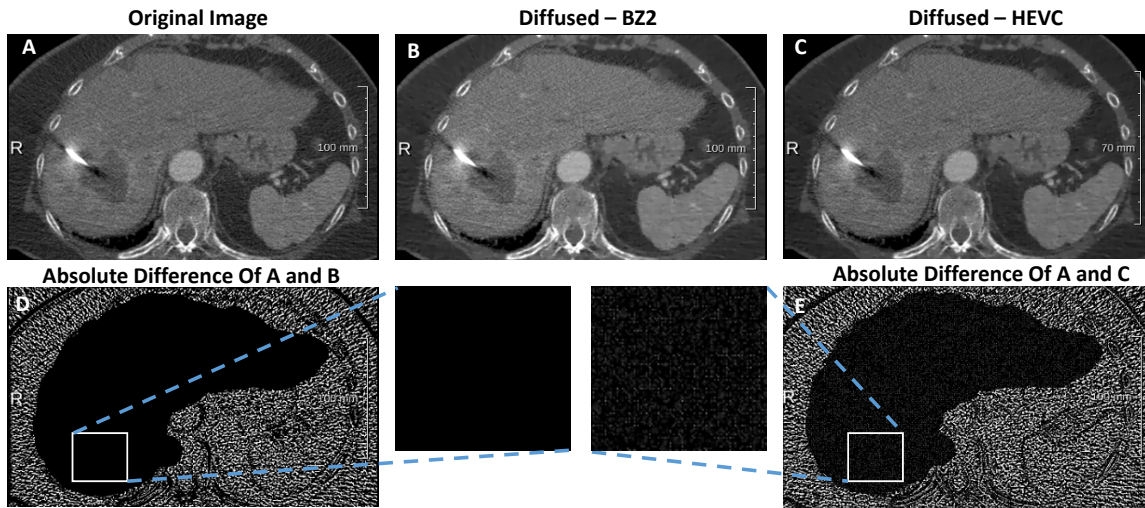


Figure 7: Examples of the decompressed CT images in RFA liver intervention using BZ2 and HEVC method.

440 (1.6) mm and 2.3 (1.3) mm, respectively. The p -values for T -tests on the performance of the
 441 radiologist 1 and 2 with “Original” vs “Diffused-BZ2”, and “Original” vs “Diffused-HEVC” are
 442 0.72, 0.25, 0.13 and 0.53, respectively. There are thus no statistically significant differences in
 443 placing the liver lesion centers when comparing the radiologists performance on the original images
 444 and the compressed images. The medians of the difference in placing the annotations between
 445 the two radiologists are 0.97 (2.76) mm, 1.08 (2.53) mm and 1.04 (2.38) mm, respectively.
 446 The p -values for T -tests on the inter-observer performance with “Original” vs “Diffused-BZ2”,
 447 and “Original” vs “Diffused-HEVC” are 0.36 and 0.91, respectively, suggesting that there is no
 448 statistically significant difference in annotating the liver tumor centers by the two radiologists.

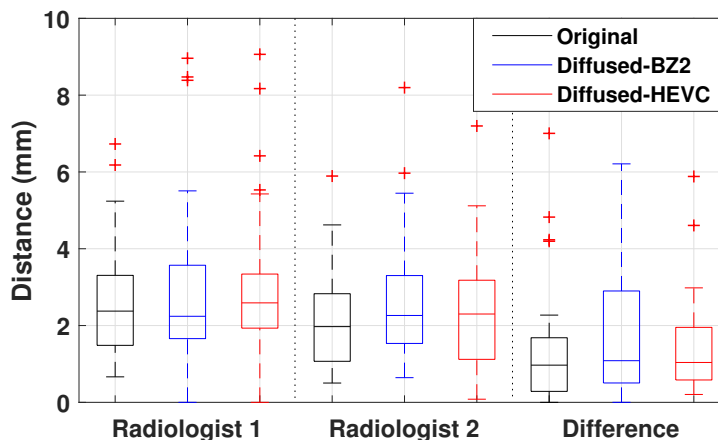


Figure 8: The distances between the annotations at the liver lesion centers performed by the two radiologists and the ground truth of the liver tumor centers. “Original” is the displacements in the original images, “Diffused-BZ2” indicates the displacements in the BZ2-decompression diffused images, while “Diffused-HEVC” stands for the displacements in the HEVC-decompression diffused images. “Difference” illustrates the distances between the annotations of the radiologist 1 and 2 at the same liver lesions.

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IV. DISCUSSION

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The experimental results presented in Section III.D.1. show that the JLS compression method achieves a median compression ratio of 4.12 (0.95) which is the highest compression ratio among the lossless compression methods, while HEVC-visually lossless achieves the highest median compression ratio of 6.45 (0.95). These results are comparable to those of the best state-of-the-art of medical image compression methods^{17,19,20,23,28,37,58}. A summary of the state-of-the-art medical image compression methods is listed Table 5. The DLAD method is a preprocessing method to reduce entropy of the images while maintaining the quality of the image for medical purposes, and thus it may also be combined with other compression methods. Parikh et al. (2016) suggested that lossy HEVC-based compression can reduce the size of a medical image by up to 71-94%, and Pole and Shriam (2018) showed that the proposed lossy HEVC method for compressing 3D medical images achieves a *CR* of 10-15. Obviously, the lossy HEVC-based methods perform better than our proposed method; however, we suggest that the effect of the lossy compression methods should be carefully verified for the specific medical application by the (interventional) radiologists before being a compression scheme is chosen in practice. Recently, the H.266 compression method has been released⁶⁸; it is expected that the combination of DLAD and H.266-lossless also has great potential in medical image compression applications.

Table 5: The summary of the state-of-the-art for 3D medical image compression methods.

Citations	Method	Data (3D)	PSNR (dB)	SSIM	CR	Max processing time (s)	
						Comp.	Decomp.
Kurmar <i>et al.</i> (2018) ³⁷	ROI lossy/ CVQ - SA	10 abdomen CT images	39.0 (1.0), [37.27 - 40.28]	-	6.27 (0)	-	-
Sreenivasulu <i>et al.</i> (2020) ²⁸	ROI lossless/ Wavelet	5 images (Brain MRI)	42.3 (3.3), [38.65 - 46.69]	-	2.6 (0.8), [1.68 - 3.88]	-	-
Lucas <i>et al.</i> (2017) ²⁰	Lossless/ 3D predictors	CT and MR image	-	-	4.1 (1.8), [2.57 - 8.38]	220148.7	15.1
Parikh <i>et al.</i> (2018) ²³	Lossy/ HEVC	MR Brain (12 bit)	[60.3 - 63.8]	[0.78 - 0.92]	29 - 35 (Intra), 29 - 33 (Inter)	-	-
Zerva <i>et al.</i> (2020) ²⁵	Lossy/ 3D-WDR-MCDP	MR Brain	[50.6 - 52.3]	[0.68 - 0.78]	16	-	-
Guarda <i>et al.</i> (2017) ¹⁹	Lossless/ HEVC	2 MR images	-	-	2.8 (0.1), [2.68 - 3.66]	-	-
Pole and Shriam (2018) ⁵⁸	Lossy/ HEVC	MR video images of the brain	-	-	10 - 15	-	-
Maheswari and Raghavan (2020) ¹⁷	Lossless/ Tetrolet transform	MRI and CT	-17.8	-	4.18, [4.12 - 4.24]	7.02	-
This work	ROI lossless/ DLAD-HEVC	151 liver CT images	70.03 (0.85)	0.95 (0.02)	6.45 (1.06)	138.5	21.6

From Table 4 and Figure 8, we can conclude that the compression effect of the proposed method does not statistically significantly affect the image quality as assessed by the radiologists for liver lesion detection and lesion center annotation. Note that the ground truth can be at between two slices while the annotations are on a slice, which may cause apart of the displacements. In general, the median displacements for both radiologists are around 2 mm, which are also smaller than the minimal safety margin of 5 mm in the RFA liver intervention^{69,70}. Based on the inter-observer scores, we suppose that the median displacements are dominated by the uncertainty in human performance at this task. The main reason, of course, is the selective diffusion, since it does not degrade the quality of the part of the image containing the lesions in the liver. Whereas the visual appearance of the tissue outside the liver in the diffused images is different compared to typical images, this does not seem to hamper the radiologists in their assessment.

Several limitations remain in our study. First, evaluation of the processing time suggests that a large part of the time used for the compression process is the diffusion processing time. In this study, it requires 34.7 [32.4-36.8] seconds using the CPU to diffuse a 3D CT image of around 90 slices (see Table 2). Yet, according to Kalaiselvi (2018)⁷¹, anisotropic diffusion filters can be sped up by 1-2 orders of magnitude when they are implemented on a modern GPU (such

483 as the NVIDIA QUADRO K5000). Furthermore, Wei et.al. (2018)⁵⁹ suggested that HEVC
484 compression implementation on a multicore CPU/GPU can save 99% of the processing time.
485 Thus, with the current computational capabilities of modern GPUs, that the processing time
486 of the compression process using DLAD method can be reduced significantly with a hardware-
487 optimized implementation. Secondly, we only demonstrate the compression framework for the
488 purpose of RFA liver intervention using 3D CT abdominal images. However, several recent studies
489 on multi-organ segmentations using CNNs^{72,73} have showed that regions of others organs such
490 as the kidneys and spleen can be extracted from the 3D medical images within one minute
491 with high accuracy. In practice, the teleradiologist may not aim to see several organs at once.
492 Thus, the teleradiologist may manually interact with the images to choose the organ of interest;
493 this can then be used to guide the DLAD method to diffuse the images while preserving the
494 organ of interest. Furthermore, we suggest that after the first compressed image is sent, further
495 studies may subsequently transfer the subtraction image and then combine it with the diffused
496 image to fully restore the original image. In order to reduce the delayed time at the receiver,
497 Zerva et al.²⁵ suggested that progressive transmission of compressed images can be applied. In
498 our proposed framework, a part of the compressed 3D images can be progressively transmitted
499 while the compression process is applied on the remanding part of the images. Thirdly, the
500 HEVC-visually lossless implementation we used in this study only supports a series of 2D images.
501 Nevertheless, we expect HEVC-based compression methods for 3D image compression, using
502 inter-slice information, may further improve CR score at the expense of greater computational
503 resource requirements.

504 Recently, the Covid-19 pandemic has been first reported in Wuhan in China and spread out
505 to 215 countries around the world within only a few months. The pandemic has become a global
506 threat with at least 40 million infected cases and 1 million deaths⁷⁴, causing the lockdowns and
507 the social distancing in many countries around the world. In such a situation, telemedicine in
508 general and teleradiology in particular are a potential solution for clinical diagnosis and treatment.
509 Although the application of the teleradiology still faces many challenges, such as safeguarding
510 privacy and security, we believe that along with the development of telecommunications technol-
511 ogy, medical image compression approaches may have a significant contribution on accelerating
512 the use of teleradiology, especially in less well connected areas.

513 **V. CONCLUSIONS**

514 In conclusion, we introduced a framework for teleintervention using 3D medical images.
515 The framework is based on the proposed image processing method, DLAD, which uses a CNN
516 network for region of interest selection and an anisotropic diffusion filter to reduce the entropy
517 of the image without affecting image quality in the region of the organ of interest. DLAD was
518 further combined with a lossless compression method to compress the image. We showed that
519 the method can obtain compression ratios up to 6.45, which is 36% better than compressing
520 without DLAD, while the means of *PSNR* and *SSIM* are 70 dB and 0.95, respectively. In
521 addition, we demonstrated that, for liver cancer CT images, images processed in this way do not
522 degrade the detection and localization abilities of radiologists using these images. These results
523 indicated that the compression framework can be used to effectively compress 3D medical images
524 while preserving the quality required for the clinical use. The method thus has a high potential
525 to be implemented in teleradiology applications.

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CONFLICT OF INTEREST

The authors have no conflicts to disclose.

ETHICAL STATEMENT

The data from Erasmus Medical Center was obtained under a waiver by the Medical Ethics Committee of the Erasmus MC, University Medical Center Rotterdam. The local medical research ethics committee decided that the Medical Research Involving Human Subjects Act does not apply to this study. The data from the LiTS challenge and Mayo Clinic is publicly available for research, agreed by the local medical research ethics committees.

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